# **日KEX**香港交易所

#### Monthly Return of Equity Issuer on Movements in Securities

# For the month ended (dd/mm/yyyy) :

31/10/2020

To : Hong Kong Exchanges and Clearing Limited

### CHINA SUNTIEN GREEN ENERGY CORPORATION LIMITED

(a joint stock limited company incorporated in the People's Republic of China with limited liability)

Name of Issuer Date Submitted

04/11/2020

I. Movements in Authorised Share Capital

#### 1. Ordinary Shares

(1) Stock code : <u>00956</u> Descrip	otion :	H Sh	ares
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	1,839,004,396	RMB1.00	RMB1,839,004,396
Increase/(decrease)	0		0
Balance at close of the month	1,839,004,396	RMB1.00	RMB1,839,004,396
600956 (Shanghai Stock (2) Stock code : Exchange) Descrip	otion :	A Sh	ares
(_,			
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	2,010,906,000	RMB1.00	RMB2,010,906,000
Increase/(decrease)	00		0
Balance at close of the month	2,010,906,000	RMB1.00	RMB2,010,906,000

#### 2. Preference Shares

Stock code : <u>N/A</u> Dese	cription :		
	No. of preference shares	Par value (State currency)	Authorised share capital <i>(State currency)</i>
Balance at close of preceding mor	nth		
Increase/(decrease)		-	
Balance at close of the month			

## 3. Other Classes of Shares

Stock code : <u>N/A</u> Descrip	otion :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital <i>(State currency)</i>
Balance at close of preceding month			
Increase/(decrease)		-	
Balance at close of the month			

Total authorised share capital at the end of the month (*State* RMB3,849,910,396 (Remark 1)

	No. of ordinary shares		No of preference shares	No. of other classes of shares
	(1)	(2)		
Balance at close of preceding month	1,839,004,396	2,010,906,000	N/A	N/A
Increase/ (decrease) during the month	0	0	N/A	N/A
Balance at close of the month	1,839,004,396	2,010,906,000	N/A	N/A

III. Details of Movements in Issued Share Capital

Share Options (und	er Share Opt	ion Schemes o	of the Issuer)			
Particulars of						No. of new shares of
share option						issuer which may be
scheme					the month pursuant	
including EGM	Ν	Novement duri	ng the month		thereto	thereto as at close of the month
approval date  (dd/mm/yyyy) and						
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. N/A	0.0.000		•••••••			
shares						
(Note 1)				· <u> </u>		
2. N/A						
2.10//						
shares						
(Note 1)		·		·		
3. N/A						
0.10/7						
shares						
(Note 1)						
		Tota	IA. (Ordina	ry shares)	N/A	
		1014	(Preferend		N/A	
				her class)	N/A	
Total funds raised d	uring the me	ath from overei	•			
of options (State cu			30	N/A		
	nonoy/			11/7		

No. of n shares issue during t Nominal value Currency at close of Exercised Nominal value pursua	of shares of r issuer which d may be he issued n pursuant nt thereto as at
Description of warrants of nominal preceding during the at close of the theret (Date of expiry - dd/mm/yyyy) value month month month	monui
<u>1. N/A</u>	
<pre>( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price</pre>	
EGM approval date	
(if applicable) (dd/mm/yyyy) ( / / )	
<u>2. N/A</u>	
Stock code (if listed)	
Class of shares	
issuable (Note 1)	
Subscription price	
EGM approval date	
(if applicable) (dd/mm/yyyy)	
3. N/A	
Stock code (if listed)	
Class of shares	
issuable ( <i>Note 1</i> ) Subscription price	
EGM approval date	
(if applicable)	
(dd/mm/yyyy) ( / / )	
<u>4. N/A</u>	
Stock code (if listed)	
Class of shares	
issuable (Note 1)	
Subscription price	
EGM approval date	
(if applicable) (dd/mm/yyyy)	
Total B. (Ordinary shares) N/A	
(Preference shares) N/A	
(Other class) N/A	

Convertibles (i.e. Convertible	into Shares of th	ne Issuer whic	h are to be Liste	ed)		
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1. N/A</u>	_					
	_					
	_					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)						
3. N/A	_ _ _ 					
Class of shares issuable <i>(Note 1)</i> Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy) 4. N/A	<u>(//)</u> 					
Stock code (if listed) Class of shares issuable <i>(Note 1)</i> Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy)	( / / )			ary shares) ce shares) ther class)	N/A	-

Any other Agreements or Arrangements to Issue Shares of the Issue Options (other than under Share Option Schemes)	er which are to be Liste	ed, including
	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:		the month
1. <u>N/A</u>	-	
( / / ) shares (Note 1)	-	
2. <u>N/A</u>	-	
shares (Note 1)	-	
3. N/A		
shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

1. Rights issue       At price :       State currency       Issue and allotment date : (d/imm/yyyy)       ( / / )         1. Rights issue       At price :       State currency       Issue and allotment date : (d/imm/yyyy)       ( / / )         2. Open offer       At price :       State currency       Class of shares issuable (Note 1)       N/A       N/A         2. Open offer       At price :       State currency       Issue and allotment date : (d/imm/yyyy)       ( / / )       N/A       N/A         2. Open offer       At price :       State currency       Issue and allotment date : (d/imm/yyyy)       ( / / )       N/A       N/A         3. Placing       At price :       State currency       Issue and allotment date : (d/imm/yyyy)       ( / / )       N/A       N/A         4. Bonus issue       Class of shares issuable (Note 1)       Issue and allotment (d/imm/yyyy)       ( / / )       N/A       N/A         4. Bonus issue       Issue and allotment (d/imm/yyyy)       ( / / )       )       N/A       N/A		<u>Type of Issue</u>			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. Rights issue       At price :       State currency       Issue and allotment       ( / / )         EGM approval date:       ( / / )       EGM approval date:       ( / / )         2. Open offer       At price :       State currency       Class of shares issuable (Note 1)         2. Open offer       At price :       State currency       Issue and allotment       ( / / )         2. Open offer       At price :       State currency       Issue and allotment       ( / / )         3. Placing       At price :       State currency       Issue and allotment       ( / / )         3. Placing       At price :       State currency       Issue and allotment       ( / / )         4. Bonus issue       Class of shares issuable (Note 1)       N/A       N/A         4. Bonus issue       Issue and allotment       ( / / )       N/A				Class of shares		
1. Hights issue       At price : currency       date : (dd/mm/yyyy)         EGM approval date:       ( / / )         (dd/mm/yyyy)						
2. Open offer       At price : State       Class of shares	1.	Rights issue	At price :			
2. Open offer       At price :       State currency       Issue and allotment ( / / ) date : (dd/mm/yyyy)         2. Open offer       At price :       State currency       Issue and allotment ( / / ) date : (dd/mm/yyyy)         2. Glass of shares						NI/A
2. Open offer       At price :       State currency       Issue and allotment ( / / ) date : (dd/mm/yyyy)         2. Open offer       At price :       State currency       Issue and allotment ( / / ) date : (dd/mm/yyyy)         EGM approval date:       ( / / ) (dd/mm/yyyy)       N/A       N/A         3. Placing       At price :       State currency       Issue and allotment date : ( / / ) (dd/mm/yyyy)       N/A         3. Placing       At price :       State currency       Issue and allotment date : ( / / ) (dd/mm/yyyy)       N/A       N/A         4. Bonus issue       Class of shares issuable (Note 1)       Issue and allotment ( / / ) (dd/mm/yyyy)       N/A       N/A         4. Bonus issue       EGM approval date: ( // / ) (dd/mm/yyyy)       EGM approval date: ( / / ) (dd/mm/yyyy)       Issue and allotment ( / / ) (date : ( dd/mm/yyyy))					N/A	<u>IN/A</u>
2. Open offer       At price :       State currency       Issue and allotment date : (d//mm/yyyy)       (///)         EGM approval date:       (///)       EGM approval date:       (///)       N/A       N/A         3. Placing       At price :       State currency       Issue and allotment date : (d//mm/yyyy)       (///)       N/A       N/A         3. Placing       At price :       State currency       Issue and allotment date : (d//mm/yyyy)       (///)       N/A       N/A         4. Bonus issue       Class of shares issuable (Note 1)       Issue and allotment date : (d//mm/yyyy)       (///)       N/A       N/A         4. Bonus issue       Class of shares issuable (Note 1)       Issue and allotment date : (d//mm/yyyy)       (///)       Issue and allotment date : (d//mm/yyyy)         EGM approval date:       (///)       Issue and allotment (///)       Issue and allotment (///)       Issue and allotment (///)						
Image: State (dd/mm/yyyy)       N/A       N/A         3. Placing       At price : State currency       Class of shares	2.	Open offer	At price :	Issue and allotment ( / / )		
N/A       N/A         State       Issue of shares         Issue and allotment       (// / )         Issue and allotment       (/ / )         (dd/mm/yyyy)       N/A         N/A       N/A         Issue and allotment       (/ / )         Issue and allotment       (/ / )         Issue and allotment <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td></td<>						
3. Placing       At price :       State currency       Issue and allotment date : (dd/mm/yyyy)       ( / / )         3. Placing       At price :       State currency       Issue and allotment date : (dd/mm/yyyy)       ( / / )         EGM approval date:       ( / / )       N/A       N/A         (dd/mm/yyyy)       Issue and allotment issuable (Note 1)       N/A       N/A         4. Bonus issue       Issue and allotment ( / / )       Issue and allotment ( / / )         EGM approval date:       ( / / )       Issue and allotment ( / / )         Glass       Glass of shares       Issue and allotment ( / / )         Glass       EGM approval date:       ( / / )         EGM approval date:       ( / / )       I				(dd/mm/yyyy)	<u> </u>	N/A
3. Placing       At price : currency       date : (dd/mm/yyyy)         EGM approval date:       ( / / )         (dd/mm/yyyy)       N/A       N/A         4. Bonus issue       Issue and allotment ( / / )         date : (dd/mm/yyyy)       EGM approval date:         EGM approval date:       ( / / )         Glass       ( / / )         Glass       ( / / )         M/A       ( / / )         EGM approval date:       ( / / )         ( / / )       EGM approval date:         ( / / )       EGM approval date:         ( / / )       EGM approval date:         ( / / )       ( / / )         ( / / / )         ( / / / )						
(dd/mm/yyyy)     N/A     N/A       N/A     N/A     N/A       Class of shares	3.	Placing	At price :	loodo ana allounone		
N/A       N/A         N/A       N/A         Class of shares						
4. Bonus issue lissuable (Note 1) 4. Bonus issue EGM approval date: ( / / ) (dd/mm/yyyy)				(daninin yyyy)	N/A	N/A
4. Bonus issue date : (dd/mm/yyyy) EGM approval date: ( / / ) (dd/mm/yyyy)						
(dd/mm/yyyy)	4.	Bonus issue				
N/AN/A						
				(	N/A	N/A

	Type of Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares					
				Class of shares issuable <i>(Note 1)</i>					
5.	Scrip dividend	At price :	State currency ———	lssue and allotment date : (dd/mm/yyyy)	( /	/ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	/ /	)	N/A	<u>N/A</u>
				Class of shares					
	Demuscher			repurchased (Note 1)	(	/ /	١		
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)					
				EGM approval date: (dd/mm/yyyy)	( )	/ /	)		
								<u> </u>	<u>N/A</u>
				Class of shares redeemed (Note 1)					
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	( /	/ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	/ /	)		
								<u> </u>	<u>N/A</u>
				Class of shares issuable ( <i>Note 1</i> )					
8.	Consideration issue	At price :	State currency ———	lssue and allotment date : (dd/mm/yyyy)	( /	/ /	)		
				EGM approval date: (dd/mm/yyyy)	( /	/ /	)		
								N/A	N/A

	Type of Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable <i>(Note 1)</i>					
9.	Capital reorganisation			lssue and allotment date : (dd/mm/yyyy)	( /	/	)		
				EGM approval date: (dd/mm/yyyy)	( /	/	)	N/A	N/A
				Class of shares issuable <i>(Note 1)</i>					
10.	Other	At price :	State currency	lssue and allotment date : (dd/mm/yyyy)	( /	/	)		
				EGM approval date: (dd/mm/yyyy)	( /	/	)	N/A	N/A
				Total E (Prefe	renc		ares) ares)	) N/A ) N/A	 - -

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1)	0
(2)	0
(3)	0
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	N/A
(These figures should be the same as the relevant figures under II above ("Movements in Capital").)	Issued Share

#### IV. Confirmations

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III which has not been previously disclosed in a return published under rule 13.25A, it has been duly authorized by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

(i) all money due to the listed issuer in respect of the issue of securities has been received by it;

- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 3);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

Remarks (if any):

 As the Company is incorporated in the People's Republic of China, the concept of "authorised share capital" is not applicable. The information shown as "authorised share capital" in section I above refers to the "registered share capital" of the Company.

Submitted by: Lam Yuen Ling Eva

 Title:
 Joint Company Secretary

 (Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under rule 13.25A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. *"Identical" means in this context:* 
  - the securities are of the same nominal value with the same amount called up or paid up;
  - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
  - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please append the prescribed continuation sheet.