## HKEX 香港交易所

#### Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : <u>31/01/2017</u>

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Date Submitted

# CHINA SUNTIEN GREEN ENERGY CORPORATION LIMITED 03/02/2017

I. Movements in Authorised Share Capital

| 1. Ordinary Shares                    |                        |                                  |   |  |
|---------------------------------------|------------------------|----------------------------------|---|--|
| (1) Stock code : <u>00956</u> Descrip | otion :                | H Sh                             | ares  |  |
|                                       | No. of ordinary shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |  |
| Balance at close of preceding month   | 1,839,004,396          | RMB1.00                          | RMB1,839,004,396                                |  |
| Increase/(decrease)                   | 0                      |                                  | 0   |  |
| Balance at close of the month         | 1,839,004,396          | RMB1.00                          | RMB1,839,004,396                                |  |
| (2) Stock code : <u>N/A</u> Descrip   | otion :                | Domestic Shares                  |   |  |
|                                       | No. of ordinary shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |  |
| Balance at close of preceding month   | 1,876,156,000          | RMB1.00                          | RMB1,876,156,000                                |  |
| Increase/(decrease)                   | 0                      |                                  | 0   |  |
| Balance at close of the month         | 1,876,156,000          | RMB1.00                          | RMB1,876,156,000                                |  |

#### 2. Preference Shares

| Stock code :          | N/A         | Descrip | otion :                  |                                  |   |
|-----------------------|-------------|---------|--------------------------|----------------------------------|---|
|                       |             |         | No. of preference shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |
| Balance at close of   | preceding 1 | nonth   |                          |                                  |   |
| Increase/(decrease)   |             |         |                          |                                  |   |
| Balance at close of t | the month   |         |                          |                                  |   |

#### 3. Other Classes of Shares

| Stock code : <u>N/A</u> Descrip     | otion :                        |                                  |   |
|-------------------------------------|--------------------------------|----------------------------------|---|
|                                     | No. of other classes of shares | Par value<br>(State<br>currency) | Authorised share<br>capital<br>(State currency) |
| Balance at close of preceding month |                                |                                  |   |
| Increase/(decrease)                 |                                |                                  |   |
|                                     |                                |                                  |   |
| Balance at close of the month       |                                |                                  |   |

Total authorised share capital at the end of the month (*State currency*) : \_\_\_\_\_ RMB3,715,160,396

## II. Movements in Issued Share Capital

|  | No. of ordi   | nary shares   | No of preference | No. of other classes |
|--|---------------|---------------|------------------|----------------------|
|  | (1)           | (2)           | shares           | of shares            |
| Balance at close of preceding month      | 1,839,004,396 | 1,876,156,000 | N/A              | N/A                  |
| Increase/ (decrease)<br>during the month | 0             | 0             | N/A              | N/A                  |
| Balance at close of the month            | 1,839,004,396 | 1,876,156,000 | N/A              | N/A                  |

### III. Details of Movements in Issued Share Capital

#### Share Options (under Share Option Schemes of the Issuer)

| Particulars of share<br>option scheme<br>including EGM |            |                  |              |              | No. of new shares of<br>issuer issued during<br>the month pursuant | No. of new shares of<br>issuer which may be<br>issued pursuant thereto |
|--|------------|------------------|--------------|--------------|--|--|
| approval date  |            | Movement durin   | g the month  |              | thereto  | as at close of the month   |
| (dd/mm/yyyy) and                                       |            |                  |              |              |  |  |
| class of shares  | Constant 1 | <b>F</b>         | <b>C</b>     | T            |  |  |
| issuable   | Granted    | Exercised        | Cancelled    | Lapsed       |  |  |
| <u>1. N/A</u>  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
| ( / / )  |            |                  |              |              |  |  |
| shares   |            |                  |              |              |  |  |
| (Note 1)   |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
| 2. N/A   |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
| ( / / )  |            |                  |              |              |  |  |
| shares   |            |                  |              |              |  |  |
| (Note 1)   |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
| 3. N/A   |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
|  |            |                  |              |              |  |  |
| shares   |            |                  |              |              |  |  |
| (Note 1)   |            |                  |              |              |  |  |
| (11010 1)  |            | Tot              | al A. (Ordin | ary charee)  | N/A  |  |
|  |            | 100              |              | nce shares)  | N/A N/A  |  |
|  |            |                  |              |              | N/A N/A  |  |
|  |            | c · · ·          |              | Other class) | 1 <b>N</b> /A  |  |
| Total funds raised duri                                |            | trom exercise of |              |              |  |  |
| options (State currenc                                 | y)         |                  |              | N/A          |  |  |

| Description of warrants<br>(Date of expiry - dd/mm/yyyy)  | Currency of Nominal value at<br>nominal close of<br>value preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month  | No. of new<br>shares of<br>issuer issued<br>during the<br>month<br>pursuant<br>thereto | No. of new<br>shares of<br>issuer which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|---|---|----------------------------------|--|--|--|
| <u>1. N/A</u>   |   |                                  |  |  |  |
| ( / / )         Stock code (if listed)         Class of shares         issuable (Note 1)         Subscription price         EGM approval date         (if applicable)         (dd/mm/yyyy)         2.       N/A | ( / / )   |                                  |  |  |  |
|   |   |                                  |  |  |  |
| ( / / )         Stock code (if listed)         Class of shares         issuable (Note 1)         Subscription price         EGM approval date         (if applicable)         (dd/mm/yyyy)         3.       N/A | ( / / )   |                                  |  |  |  |
|   |   |                                  |  |  |  |
| ( / / )<br>Stock code (if listed)<br>Class of shares<br>issuable (Note 1)   |   |                                  |  |  |  |
| Subscription price<br>EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)  | ( / / )   |                                  |  |  |  |
| 4. N/A  |   |                                  |  |  |  |
| ( / / )<br>Stock code (if listed)<br>Class of shares<br>issuable ( <i>Note 1</i> )<br>Subscription price<br>EGM approval date<br>(if applicable)  |   |                                  |  |  |  |
| (dd/mm/yyyy)  | ( / / )   |                                  |  |  |  |
|   | Total   | (Preferer                        | ary shares)<br>nce shares)<br>Other class) | N/A<br>N/A<br>N/A  |  |

#### For Main Board and GEM listed issuers

| Con       | vertibles (i.e. Convertible i                    | into Shares of the l                 | ssuer which are to                       | be Listed)                       |                                    |  |  |
|-----------|--|--------------------------------------|--|----------------------------------|------------------------------------|--|--|
|           | ss and description                               | Currency of<br>amount<br>outstanding | Amount at close<br>of preceding<br>month | Converted<br>during the<br>month | Amount at<br>close of the<br>month | No. of new<br>shares of<br>issuer issued<br>during the<br>month<br>pursuant<br>thereto | No. of new<br>shares of<br>issuer which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
| 1.        | N/A  |                                      |  |                                  |                                    |  |  |
|           |  |                                      |  |                                  |                                    |  |  |
|           |  |                                      |  |                                  |                                    |  |  |
|           | Stock code (if listed)                           |                                      |  |                                  |                                    |  |  |
|           | Class of shares issuable ( <i>Note 1</i> )       |                                      |  |                                  |                                    |  |  |
|           | Subscription price                               |                                      |  |                                  |                                    |  |  |
|           | EGM approval date (if applicable)                |                                      |  |                                  |                                    |  |  |
|           | (dd/mm/yyyy))                                    | ( / / )                              |  |                                  |                                    |  |  |
| 2.        | N/A  |                                      |  |                                  |                                    |  |  |
|           |  |                                      |  |                                  |                                    |  |  |
|           |  |                                      |  |                                  |                                    |  |  |
|           | Stock code (if listed)                           |                                      |  |                                  |                                    |  |  |
|           | Class of shares                                  |                                      |  |                                  |                                    |  |  |
|           | issuable ( <i>Note 1</i> )<br>Subscription price |                                      |  |                                  |                                    |  |  |
|           | EGM approval date                                |                                      |  |                                  |                                    |  |  |
|           | (if applicable)<br>(dd/mm/yyyy)                  | ( / / )                              |  |                                  |                                    |  |  |
| 3.        | N/A  | ( / / )                              |  |                                  |                                    |  |  |
|           |  |                                      |  |                                  |                                    |  |  |
|           |  |                                      |  |                                  |                                    |  |  |
|           | Stock and (if listed)                            |                                      |  |                                  |                                    |  |  |
|           | Stock code (if listed)                           |                                      |  |                                  |                                    |  |  |
|           | issuable (Note 1)                                |                                      |  |                                  |                                    |  |  |
|           | Subscription price                               |                                      |  |                                  |                                    |  |  |
|           | (if applicable)                                  |                                      |  |                                  |                                    |  |  |
| 4         | (dd/mm/yyyy)<br>N/A                              | ( / / )                              |  |                                  |                                    |  |  |
| <u>4.</u> | N/A  |                                      |  |                                  |                                    |  |  |
|           |  |                                      |  |                                  |                                    |  |  |
|           | ~  |                                      |  |                                  |                                    |  |  |
|           | Stock code (if listed)<br>Class of shares        |                                      |  |                                  |                                    |  |  |
|           | issuable (Note 1)                                |                                      |  |                                  |                                    |  |  |
|           | Subscription price                               |                                      |  |                                  |                                    |  |  |
|           | EGM approval date (if applicable)                |                                      |  |                                  |                                    |  |  |
|           | (dd/mm/yyyy)                                     | ( / / )                              |  |                                  | 1 \                                | <b>NT / 4</b>  |  |
|           |  |                                      |  | Total C. (Ordin<br>Prefere       | nary shares)<br>ence shares)       | N/A<br>N/A   | -  |
|           |  |                                      |  |                                  | Other class)                       | N/A  | -<br>-   |
|           |  |                                      |  |                                  |                                    |  |  |

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

| ant issued pursuant thereto |
|-----------------------------|
| as at close of the month    |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |
|                             |

|    | Type of Issue | <u>ı Issued Share Capital</u>         |   |                      |   | No. of new<br>shares of issuer<br>issued during<br>the month<br>pursuant thereto | No. of new<br>shares of<br>issuer<br>which may<br>be issued<br>pursuant<br>thereto as<br>at close of<br>the month |
|----|---------------|---------------------------------------|---|----------------------|---|--|---|
|    |               |                                       | Class of shares issuable  |                      |   |  |   |
|    |               | -                                     | (Note 1)  |                      | ` |  |   |
| 1. | Rights issue  | At price : State<br>currency          | Issue and allotment<br>date : (dd/mm/yyyy)                      | ( / /                | ) |  |   |
|    |               |                                       | EGM approval date:<br>(dd/mm/yyyy)                              | ( / /                | ) | NT/ 4  | NT/ 4   |
|    |               |                                       |   |                      |   | <u>N/A</u>   | <u>N/A</u>  |
|    |               |                                       | Class of shares issuable $(21 + 1)$                             |                      |   |  |   |
| 2. | Open offer    | At price : State                      | ( <i>Note 1</i> )<br>Issue and allotment<br>date : (dd/mm/yyyy) | ( / /                | ) |  |   |
|    |               | , , , , , , , , , , , , , , , , , , , | EGM approval date:<br>(dd/mm/yyyy)                              | ( / /                | ) | N/A  | N/A   |
|    |               |                                       |   |                      |   |  |   |
|    |               |                                       | Class of shares issuable ( <i>Note 1</i> )                      | _ Ordina<br>_ share_ |   |  |   |
| 3. | Placing       | At price : State                      | Issue and allotment<br>date : (dd/mm/yyyy)                      | ( / /                | ) |  |   |
|    |               |                                       | EGM approval date:<br>(dd/mm/yyyy)                              | ( / /                | ) | N/A  | N/A   |
|    |               |                                       |   |                      |   |  |   |
|    |               |                                       | Class of shares issuable ( <i>Note 1</i> )                      |                      |   |  |   |
| 4. | Bonus issue   |                                       | Issue and allotment<br>date : (dd/mm/yyyy)                      | ( / /                | ) |  |   |
|    |               |                                       | EGM approval date:<br>(dd/mm/yyyy)                              | ( / /                | ) | N/A  | N/A   |
|    |               |                                       |   |                      |   |  |   |

| S.       Scrip dividend       At price :       State<br>currency       Issue and allotment<br>(Note 1) $(/ / / )$<br>date : (dd/mm/yyyy)         S.       Scrip dividend       At price :       State<br>currency       Issue and allotment<br>(dd/mm/yyyy) $(/ / / )$<br>(dd/mm/yyyy)         G.       Repurchase of<br>shares       Class<br>(Gass of shares $(/ / / )$<br>(dd/mm/yyyy)         6.       Repurchase of<br>shares       Class of shares<br>(Gass of shares $(/ / / )$<br>(dd/mm/yyyy)         7.       Redemption of<br>shares       Class of shares<br>redeemed (Note 1) $N/A$ $N/A$ 7.       Redemption of<br>shares       Class of shares<br>(Mote 1) $(/ / / )$<br>(dd/mm/yyyy) $N/A$ $N/A$ 8.       Consideration<br>issue       At price :       State<br>currency       Issue and allottnent<br>(Mote 1) $(/ / / )$<br>(dd/mm/yyyy)         8.       Consideration<br>issue       At price :       State<br>currency       Issue and allottnent<br>(dd/mm/yyy) $(/ / / )$<br>(dd/mm/yyy)         BGM approval date:<br>(dd/mm/yyyy) $(/ / / )$<br>(dd/mm/yyyy) $N/A$ $N/A$ |    | Type of Issue  | <u>]</u>                          | For Mai | n Boa | rd and GEM liste<br>No. of new<br>shares of issuer<br>issued during<br>the month<br>pursuant thereto | No. of new<br>shares of<br>issuer<br>which may |
|--|----|----------------|-----------------------------------|---------|-------|--|--|
| S. Scrip dividend       At price : $\frac{State}{currency}$ Issue and allotment $(/ / / )$ Issue and allotment $(/ / )$ $(/ / )$ EGM approval date: $(/ / )$ (dd/mm/yyyy)       N/A       N/A         N/A       N/A       N/A         N/A       N/A       N/A         N/A       N/A       N/A         S. Repurchase of shares of shares       Class of shares         Cancellation date : $(/ / / )$ (dd/mm/yyyy)       N/A       N/A         N/A       N/A       N/A         N/A       N/A       N/A         Redemption of shares       Class of shares   |    | 1990 01 10000  |                                   |         |       |  |  |
| 5. Scrip dividend       At price : currency       date : (dd/mm/yyyy)         EGM approval date:       ( / / )         (dd/mm/yyyy)       N/A       N/A         6. Repurchase of shares       Class of shares repurchased (Note 1)       N/A       N/A         6. Repurchase of shares       Cancellation date : ( / / )       N/A       N/A         7. Redemption of shares       Class of shares redeemed (Note 1)       N/A       N/A         7. Redemption of shares       Class of shares redeemed (Note 1)       N/A       N/A         7. Redemption of shares       Class of shares ( / / )       N/A       N/A         8. Consideration issue       At price : State currency       Class of shares issuable ( / / )       N/A       N/A         8. Consideration issue       At price : State currency       Issue and allotment ( / / )       Issue and allotment ( / / )       Issue and allotment ( / / )  |    |                | (Note 1)                          |         |       |  |  |
| Image: Consideration of shares       N/A       N/A         N/A       N/A       N/A         N/A       N/A       N/A         Class       of       shares         V/A       N/A       N/A         N/A       N/A       N/A         Sconsideration       At price :       Sta   | 5. | Scrip dividend |                                   | ( / /   | )     |  |  |
| 6. Repurchase of shares       Cancellation date : $(///)$ 6. Repurchase of shares       Cancellation date : $(///)$ (d/mm/yyyy)       EGM approval date: $(///)$ (d/mm/yyyy)       N/A         N/A       N/A         Redemption date : $(///)$ (d/mm/yyyy)       N/A         N/A       N/A         Seconsideration       At price : State         issue       At price : State         <   |    |                |                                   | ( / /   | )     | N/A  | N/A  |
| shares       Class of shares   |    |                |                                   |         |       |  |  |
| Image: Consideration issue       N/A       N/A         N/A       N/A       N/A         Redemption of shares       Redemption date : (///)       (///)         Redemption date : (///)       (///)       N/A         N/A       N/A       N/A         State       Issue and allotment       (/// )         EGM approval date:       (// )       Issue         (dd/mm/yyyy)       EGM approval date:       (//  | 6. |                |                                   | ( / /   | )     |  |  |
| redeemed (Note 1)         7. Redemption of shares         Redemption date : $(///)$ (dd/mm/yyy)         EGM approval date: $(///)$ (dd/mm/yyyy)         N/A       N/A         N/A       N/A         N/A       N/A         8. Consideration issue       At price :         State       Issue and allotment         (d/mm/yyyy)       EGM approval date:         (///)       EGM approval date:         (///)       EGM approval date:         (///)       EGM approval date:         (////)       EGM approval date:         (///)       EGM approval date:   |    |                |                                   | ( / /   | )     | N/A  | N/A  |
| shares $(dd/mm/yyyy)$<br>EGM approval date: $(//)$<br>(dd/mm/yyyy)<br>N/A $N/AN/A$ $N/A8. Considerationissue At price : State(Note 1)Issue and allotment (//)date : (dd/mm/yyyy)EGM approval date: (//)$   |    |                |                                   |         |       |  |  |
| Bown approvaluate:       (dd/mm/yyyy)         N/A       N/A         Box       N/A  | 7. |                | Redemption date :<br>(dd/mm/yyyy) | ( / /   | )     |  |  |
| 8. Consideration<br>issue At price : State<br>currency ( <i>Note 1</i> )<br>Issue and allotment<br>date : (dd/mm/yyyy)<br>EGM approval date: ( / / )<br>(dd/mm/yyyy)   |    |                |                                   | ( / /   | )     | N/A  | N/A  |
| issue At price : currency date : (dd/mm/yyyy)<br>EGM approval date: ( / / )<br>(dd/mm/yyyy)  |    |                |                                   |         |       |  |  |
| (dd/mm/yyyy)   | 8. |                |                                   | ( / /   | )     |  |  |
| <u> </u>   |    |                |                                   | ( / /   | )     |  |  |
|  |    |                |                                   |         |       | N/A  | N/A  |

|     |                           |                  |   | For Main Boar   | d and GEM liste  | d issuers   |
|-----|---------------------------|------------------|---|---|--|---|
|     | Type of Issue             |                  |   |   | No. of new<br>shares of issuer<br>issued during<br>the month<br>pursuant thereto | No. of new<br>shares of<br>issuer<br>which may<br>be issued<br>pursuant<br>thereto as<br>at close of<br>the month |
| 9.  | Capital<br>reorganisation |                  | Class of shares issuab<br>( <i>Note 1</i> )<br>Issue and allotment<br>date : (dd/mm/yyyy)<br>EGM approval date:<br>(dd/mm/yyyy) | le<br>( / / )<br>( / / )                              |  |   |
| 10. | Other<br>(Please specify) | At price : State | Class of shares issuab<br>( <i>Note 1</i> )<br>Issue and allotment<br>date : (dd/mm/yyyy)<br>EGM approval date:                 | le<br>( / / )<br>( / / )                              |  |   |
|     |                           |                  | (dd/mm/yyyy)<br>Total E.  | (Ordinary shares<br>Preference shares<br>(Other class | s) N/A   | <u>N/A</u>  |

| Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):                          | (1) | 0   |  |  |  |
|--|-----|-----|--|--|--|
|  | (2) | 0   |  |  |  |
| Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):                        |     | N/A |  |  |  |
| Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):                  |     | N/A |  |  |  |
| (These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").) |     |     |  |  |  |

| Remarks ( | (if | any) | ): |
|-----------|-----|------|----|
|-----------|-----|------|----|

| Submitted by:Lam Yuen Ling Eva                         |
|--|
| Title: Joint Company Secretary                         |
| (Director, Secretary or other duly authorised officer) |
|  |

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.